

04/16/01
J1060 U.S. PTO

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09/836672
04/16/01

Honorable Commissioner
of Patents and Trademarks
Box Patent Application
Washington, D.C. 20231

Attorney Docket Number: **5845**

Date: April 13, 2001

NEW UTILITY PATENT APPLICATION TRANSMITTAL
UNDER 37 CFR 1.53(b)

Transmitted herewith for filing is the patent application of Inventor(s):

WRASMAN, Duane MAYS, Wesley M.

For (Title): DUAL MODE RFID DEVICE

- 1) **This is a New Patent Application**
- 2) **Papers enclosed which are required for filing date under 37 CFR 1.53(b) are**
- Pages of description
 - 1 Pages of claims
 - 1 Page of Abstract
 - 2 Sheets of drawings
- ☐ Formal
- ☒ Informal

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the document referred to as enclosed therein are being deposited with the United States Postal Service on November 20, 2000 in an envelope as "Express Mail Post Office Addressee", mailing label number EL456775776US addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Mi Shim
Name of person mailing paper


Signature of person mailing paper

3) **Oath or Declaration of Inventorship**

- ☐ is attached
☒ will follow

4) **Additional papers enclosed:**

- ☐ Information Disclosure Statement
☐ Form PTO - 1449
☐ Citations
☒ Schedule A identifying inventor(s)

5) **Language**

- ☒ English
☐ Non-English
☐ The attached translation is a verified translation under 37 CFR 1.52(d)

6) **Assignment**

- ☐ is attached
☒ will follow

7) **Foreign Priority Claim**

Certified copy(ies) of application(s)

COUNTRY	APPLICATION NUMBER	DATE FILED

from which priority is claimed

- ☐ is (are) attached
☐ will follow

8) **Amendments**

- ☐ Preliminary amendment enclosed
☐ Preliminary amendment will follow

09836672-041601

9) **Filing Fee Calculation:**

Description	Claims Filed	Claims Allowed	Extra Claims	Rate of Extra Claims (per claim)	Fees
Total Claims	12	20	0	\$18	\$0
Independent Claims	1	3	0	\$80	\$0
		Yes	No	Rate	
Multiple Dependent Claim(s) Present				\$270	\$0
Basic Filing Fee for Regular Applications					\$690
Total Filing Fee for Large Entity Applications					\$710
Total Filing Fee for Small Entity Applications(1/2 of Large Entity)					\$355

10) **Small Entity Statement(s)**

- ☐ Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.7 is (are) attached

11) **Fee payment being made at this time**

- ☒ Large Entity basic filing fee \$710
- ☐ Small Entity basic filing fee \$0
- ☐ Fee for processing an application with a specification in a non-English language \$0
- ☐ Recordal Fee for Assignment \$0
- TOTAL FEES ENCLOSED** **\$710**

12) **Method of payment of fees**

- ☒ Check in the amount of \$710
- ☐ Charge Account No. 4-2219 in the amount of \$0

13) **Authorization to charge additional fees**

The Commissioner is hereby granted general authorization under 37 CFR 1.25, effective for the pendency of the present application, to charge any underpayment of the fees set forth in 37 CFR 1.16 and 1.17, and the assignment recordal fee if any, and to credit any overpayment of those fees, to Deposit Account No. 4-2219, referencing our docket number **5845**.

KEITH H. ORUM
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REGISTRATION NUMBER 17656

SUSAN M. KEATING
REGISTRATION NUMBER 41887

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SCHEDULE A

1. The accompanying application is a provisional application. (37 C.F.R. § 1.51 (a)(2)(i)(A))

2. The name(s) of the inventor(s) is/are (37 C.F.R. § 1.51(a)(2)(i)(B)):

1. WRASMAN, Duane
2. MAYS, Wesley M.

3. Address(es) of the inventor(s), as numbered above (37 C.F.R. § 1.51(a)(2)(i)(C)):

1. 8709 Pinecrest Dr., NW, Albuquerque, Bernahillo Co., NM 87114
2. 7625 Richmond Hill Rd., NW, Albuquerque, Bernahillo Co., NM 87120

4. The title of the invention is (37 C.F.R. § 1.51(a)(2)(i)(D)): DUAL MODE RFID DEVICE

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